# United States Department of Labor Mine Safety and Health Administration



**Dennis Cotton** 

**Acting District Manager** 

District 6
Coal Mine Safety and Health

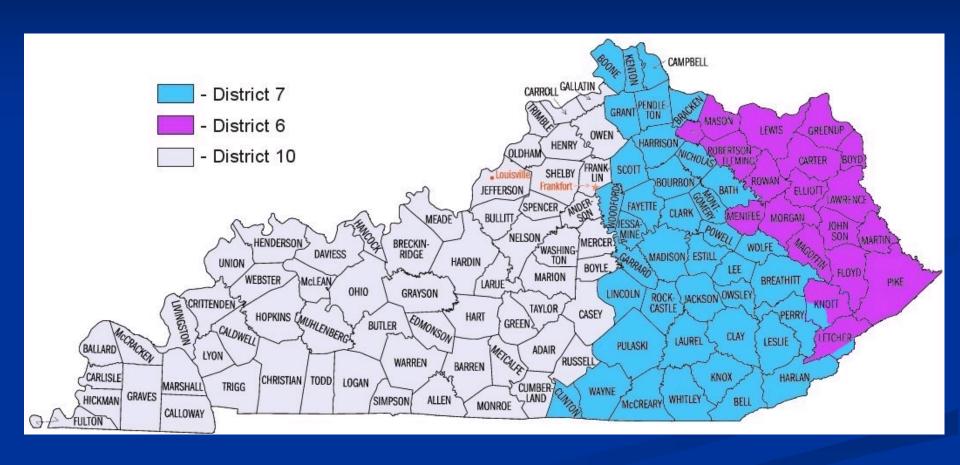
#### Mine Safety and Health Administration



#### **Our Mission:**

To Prevent death, disease, and injury from mining and promote safe and healthful workplaces for the nation's miners.

#### KY MSHA Districts



## Leadership In MSHA



Assistant Secretary of Labor for Mine Safety and Health Joseph A. Main



Deputy Assistant Secretary for Operations
Patricia W. Silvey



Deputy Assistant Secretary for Policy
Anthony C. Mayville



Administrator
Coal Mine Safety and Health
Kevin Stricklin

#### COAL DISTRICT 6 OVERVIEW

- District 6 activities are conducted from 6 locations.
  - The District Office located in Pikeville, Kentucky.
  - Field Offices in:
    - Pikeville, Kentucky
    - Elkhorn City, Kentucky
    - Phelps, Kentucky
    - Martin, Kentucky
    - Whitesburg, Kentucky
    - Hindman, Kentucky
- 147 MSHA personnel are currently employed at these offices.

## District 6 Management

- Dennis Cotton District Manager (Acting)
- Don Gibson Assistant District Manager,
   Enforcement (Acting)
- Jim Poynter Assistant District Manager,
  Technical
- David Steffey Staff Assistant (Acting)
- Alan Howell Supervisory, Special
   Investigations

### District 6 Field Offices

#### 6 Field Office Locations

- Pikeville, KY Field Office
  - Danny Deel, Supervisor
  - Silas Adkins, Supervisor
- Elkhorn City, KY Field Office
  - Brian Dotson, Supervisor
- Phelps, KY Field Office
  - James Hager, Supervisor
- Martin, KY Field Office
  - Billy Buchanan, Supervisor
  - Hargis Hurt, Supervisor
- Whitesburg, KY Field Office
  - David Ison, Supervisor
  - Greg Ison, Supervisor
- Hindman, KY Field Office
  - Vernus Sturgill, Supervisor

## District 6 Technical Division

- Electrical & Training Group
  - Bob Bates, Supervisor
- Health Group
  - Stevie Justice, Supervisor
- Roof Control & Impoundments Group
  - Hank Bellamy, Supervisor
- Ventilation Group
  - Craig Plumley, Supervisor

#### COAL DISTRICT 7 OVERVIEW

- District 7 activities are conducted from 4 locations.
  - The District Office located in Barbourville, Kentucky.
  - Field Offices in:
    - Barbourville, Kentucky
    - Harlan, Kentucky
    - Hazard, Kentucky
    - Jacksboro, Tennessee
- 136 MSHA personnel are currently employed at these offices.

## District 7 Management

- Irvin T. Hooker District Manager
- Clayton E. Sparks Assistant District Manager,
   Enforcement
- Dennis Cotton Assistant District Manager,
   Technical
- Charles J. Maggard— Staff Assistant

## District 7 Field Offices

## 4 Field Office Locations/ 2 States Total Mines by Field Office

Barbourville, KY Field Office	94
■ Sam Creasy, Supervisor	
<ul><li>Charles Barton, Supervisor</li></ul>	
Ron Burns, Supervisor	
Harlan, KY Field Office	<i>74</i>
Robert Rhea, Supervisor	
■ Brad Sears, Supervisor	
■ Lester Cox, Supervisor	
Hazard, KY Field Office	<i>57</i>
■ Marvin Hoskins, Supervisor	
<ul><li>Craig Clark, Supervisor</li></ul>	
Jacksboro, TN Field Office	19
■ Kevin Bruner, Supervisor	



## District 7 Offices

### Technical Division Employees

-	Electrical & Training Group  Randall Lewis, Supervisor	8
_	Health Group  Randy Kline, Supervisor	9
-	Roof Control & Impoundments Group  Steven Sorke, Supervisor	9
-	Ventilation Group  Terry Sheffield, Supervisor	7

#### **COAL DISTRICT 10 OVERVIEW**

- District 10 activities are conducted from 3 locations.
  - The District Office located in Madisonville, Kentucky.
  - Field Offices in:
    - Madisonville, Kentucky
    - Morganfield, Kentucky
    - Beaver Dam, Kentucky

65 MSHA personnel are currently employed at these offices.

## District 10 Management

- Jim W. Langley District Manager
- Ronald W. Burns Assistant District Manager,
   Enforcement
- Vacant Assistant District Manager,
   Technical
- William L. Barnwell Staff Assistant



## District 10- Field Offices

#### 3 Field Office Locations

- Madisonville, KY Field Office
  - Abel DeLeon, Supervisor
  - Michael Whitfield, Supervisor
- Morganfield, KY Field Office
  - Alan Frederick, Supervisor
- Beaver Dam, KY Field Office
  - William Cook, Supervisor



## District 10 Offices Technical Division Employees

#### Technical Division Employees

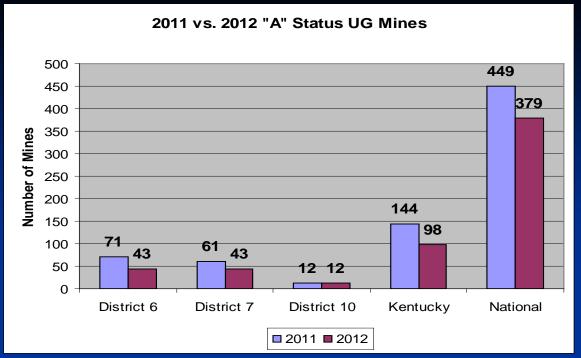
3

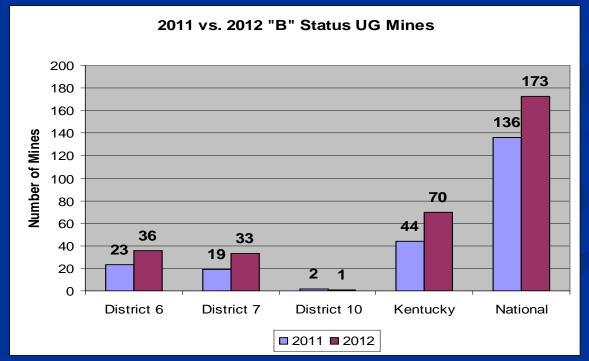
- Electrical & Training Group
   Michael Moore, Supervisor
- Health Group
  - Edward Nichols, Supervisor
- Roof Control & Impoundments Group
  - Mark Odum, Supervisor
- Ventilation Group
  - David West, Supervisor

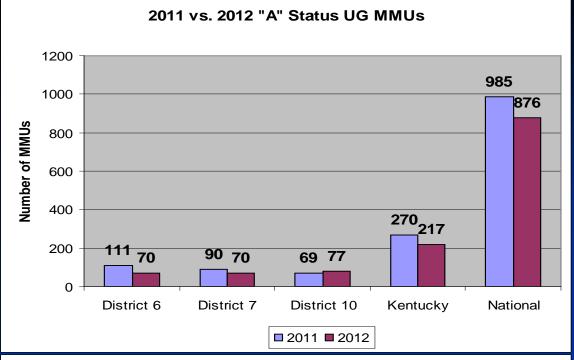
#### Kentucky Total Number of Mines

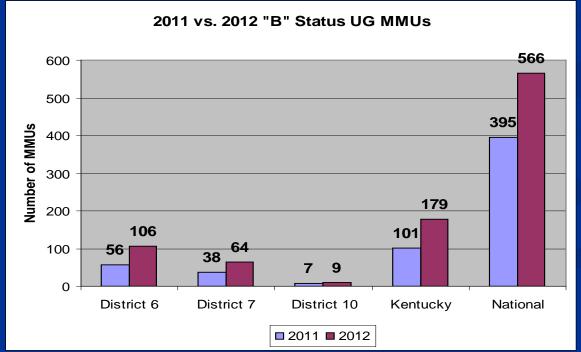
	<u>D6</u>	<i>D7</i>	<i>D10</i>	<u>Total</u>
■ Underground	79	71	<i>13</i>	<i>163</i>
Surface	117	<i>94</i>	<i>16</i>	227
Facilities	<i>50</i>	<i>59</i>	<i>13</i>	122
Total Operations	<b>246</b>	224	<b>42</b>	<i>512</i>
Producing Operations	<i>150</i>	148	35	333
Non-producing Operations	<del>96</del>	<b>76</b>	7	179

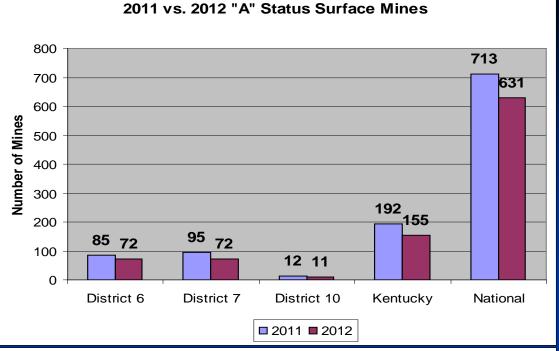
Total Number of Miners in Kentucky - 16,181

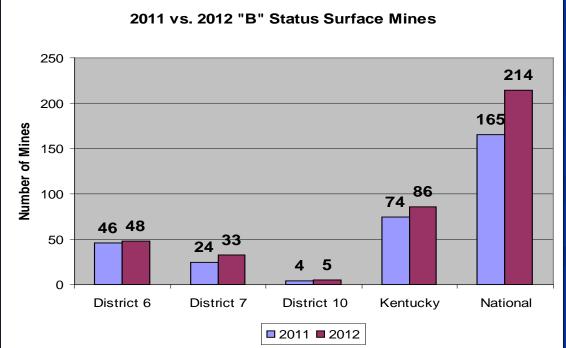


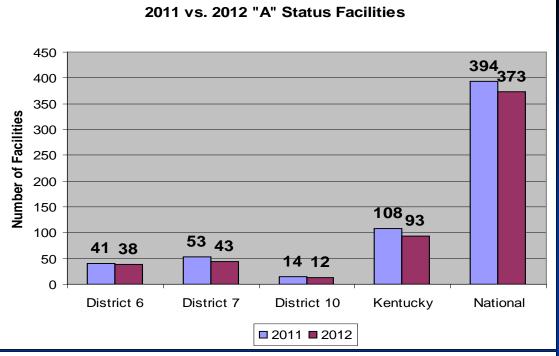


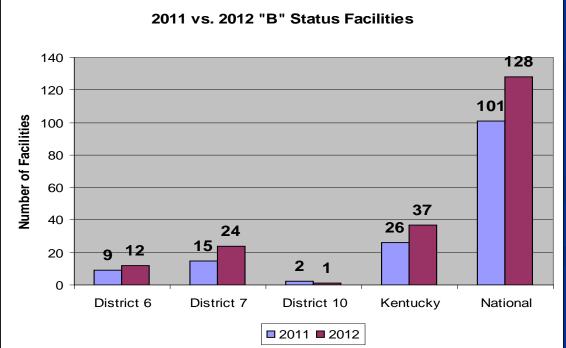


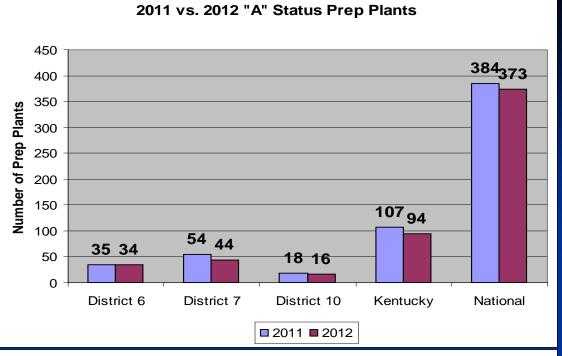


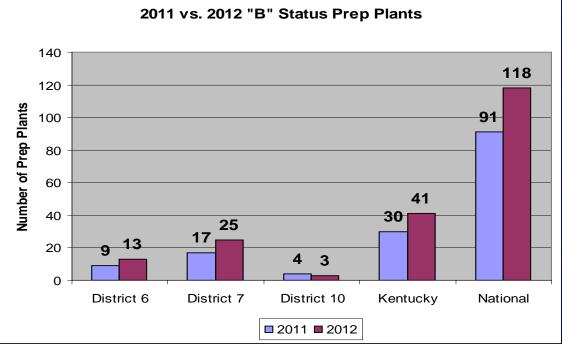












#### **National MMU and Underground Mine Counts**



Note: Count – monthly count as determined by an end-of-the-month point in time

Average – a 12-month moving average

## Coal Fatalities Nationwide CY 2012

COAL DAILY FATALITY REPORT - August 16, 2012													
FATALITIES CHARGEABLE TO	200	8	200	9		2010			2011			2012	
THE COAL MINING INDUSTRY	UG	S	UG	S		UG	S		UG	s		UG	S
ELECTRICAL	1	1	0	0		0	0		0	1		1	0
EXP V ESSELS UNDER PRESSURE	0	0	0	0		0	0		0	0		1	0
EXP & BREAKING AGENTS	0	0	0	0		0	0		0	0		0	0
FALL/SLIDE MATERIAL	0	0	0	0		0	0		0	0		0	0
FALL OF FACE/RIB/HIGHWALL	0	1	0	0		3	0		2	0		1	0
FALL OF ROOF OR BACK	4	0	1	0		2	0		1	0		1	0
FIRE	0	0	0	0		0	0		0	0		0	0
HANDLING MATERIAL	0	0	0	1		0	0		0	0		1	0
HAND TOOLS	0	0	0	0		0	0		0	0		0	0
NONPOWERED HAULAGE	0	0	0	0		0	0		0	0		0	0
POWERED HAULAGE	5	1	1	4		3	1		2	1		0	1
HOISTING	0	0	0	0		0	0		0	0		0	0
IGNITION/EXPLOSION OF GAS/DUST	0	0	0	0		29	1		0	0		0	0
INUNDATION	0	0	0	0		0	0		0	0		0	0
MACHINERY	2	3	0	1		2	1		2	2		2	1
SLIP/FALL OF PERSON	0	1	0	1		0	0		0	1		1	2
STEP/KNEEL ON OBJECT	0	0	0	0		0	0		0	0		0	0
STRIKING OR BUMPING	0	0	0	0		0	0		0	0		0	0
OTHER	0	0	0	0		0	0		0	0		0	1
YEAR TO DATE TOTALS	12	7	2	7		39	3		7	5		8	5
COMBINED YEAR TO DATE TOTALS	19		9			42	42 12				13		
END OF YEAR TOTAL	30		18			48			21				

# Coal Fatalities Nationwide CY 2012 Location of Accidents

13 Fatal Accidents

- 8 Underground
- 5 Surface

4 Kentucky Fatal Accidents

- 3 Underground
- 1 Facility

# Coal Fatalities Nationwide CY 2012 Accident Classification 13 Fatal Accidents

- 3 Machinery
- 3 Slip or Fall of Person
- 2 Roof/Rib
- 1 Powered Haulage
- 1 Electrical
- 1 Handling Materials
- 1 Exploding Vessels
- 1 Other (Drowning)

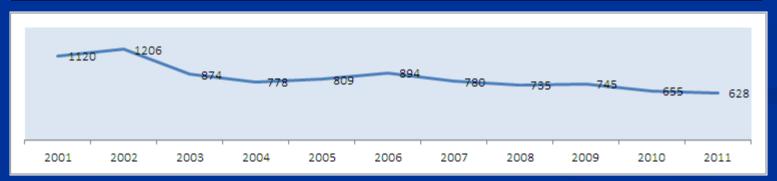
# Coal Fatalities Nationwide CY 2012 By State

#### 13 Fatal Accidents

- 4 KY
- 4 WV
- 1 CO
- 1 IN
- 1 AL
- 1 VA
- 1 OH

### Kentucky Accidents 2001 – 2012

Kentucky Accidents (Degree of Injury 2 - 5)															
	2001 2002 2003 2004 2005 2006 2007 2008 2009 2010 2011 2012 Totals														
D06	502	519	409	370	299	326	307	283	257	207	238	100	3817		
D07	397	388	314	265	331	358	317	273	284	288	236	107	3558		
D10	221	299	151	143	179	210	156	179	204	160	154	71	2127		
	1120	1206	874	778	809	894	780	735	745	655	628	278	9502		



Kentucky Fatalities															
	2001 2002 2003 2004 2005 2006 2007 2008 2009 2010 2011 2012 Totals														
D06	1	5	5	3	3	6	2	1	3		2	2	33		
D07	3	4	3	3	5	9		5	2	4	4	1	43		
D10	1	1	2			1		2	1	3	2	1	14		
	5	10	10	6	8	16	2	8	6	7	8	4	90		

As of August 07, there have been four fatalities at coal mines in Kentucky.

SCH Terminal Co., Inc.
Calvert City Terminal LLC
Slip or Fall of Person



On Sunday, February 26, 2012, at 1:15 a.m., a 52-year-old deckhand with 4 years of mining experience was determined missing. He had been assigned the task of measuring the draft of a set of empty barges that were to be loaded. He had to cross from the dock to the first empty barge. Witnesses observed him on the empty barge walking up-river on the barge. He apparently fell from the barge into the water. Co-workers saw his cap in the water and immediately called for the rescue squad. The victim was found beneath the bow of the dock at approximately 2:30 a.m. The miner was wearing a flotation device, but the flotation device was not designed to keep an unconscious miner's face above water.

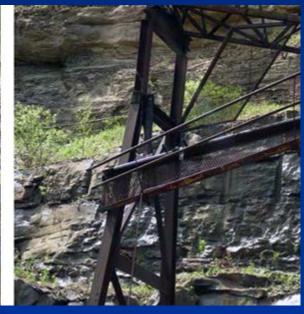
Parton Bros. Contracting, Inc.
Timber Tree #9
Handling Material



On Saturday, March 3, 2012, a 32-year old foreman was killed while attempting to install a canopy on a Joy 21 SC Shuttle Car. The canopy was suspended from the mine roof by a cable and chain. The foreman was seated in the operator's compartment of the shuttle car beneath the suspended canopy. The canopy shifted and fell, striking the foreman in the head, causing fatal injuries. The victim had 11 years of mining experience, 2 years and 6 weeks experience at this mine, and 32 weeks of experience as a foreman.

McCoy Elkhorn Coal Corp. KC #1 Slip or Fall of Person





On Wednesday, April 25, 2012, a 61-year-old demolition contractor with approximately 20 years of experience was killed from injuries received while dismantling a conveyor stacker belt from the surface area of an inactive underground coal mine. The victim had completed the final torch cut on an elevated, inclined stacker frame support beam containing the counter-weight, when the structure fell. The structure contacted the walkway (catwalk) where the victim was located. This section of the walkway, approximately 25 feet long, broke loose from the main structure, causing the victim to fall approximately 27 feet.

McCoy Elkhorn Coal Corp.

Mine #23

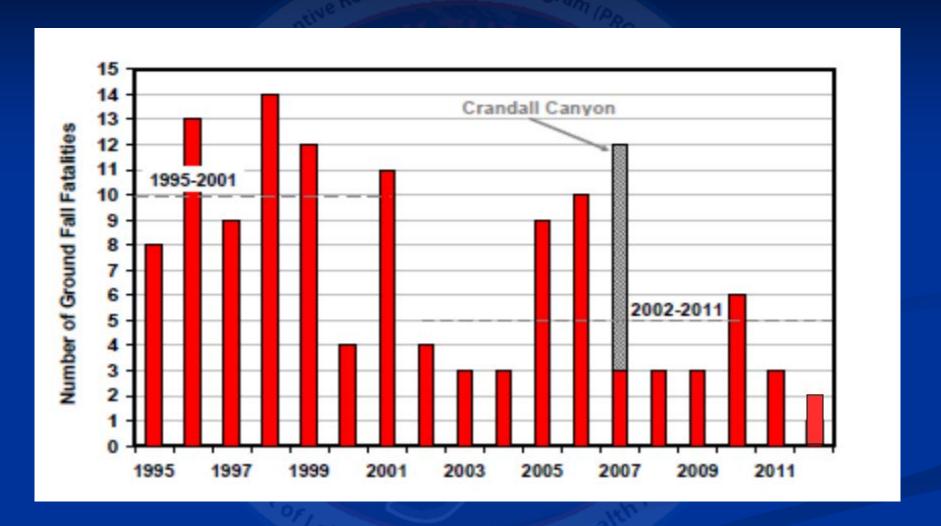
Fall of Face, Rib, Pillar or Highwall



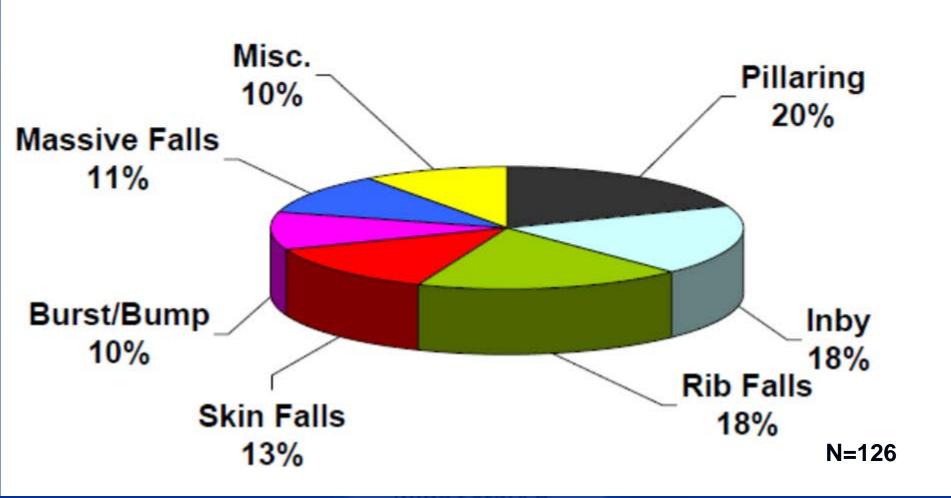
On Monday, June 25, 2012, at approximately 11:45 AM, a 33-year-old outby foreman with 7 years of experience was killed while installing additional rib/roof support in the No. 5 belt/track entry. The victim was wedging a timber against the mine roof to support the rib, when a section of the left hand rib rolled on top of him. The rock was approximately 14 feet long, 4 feet high, and 17 inches thick.



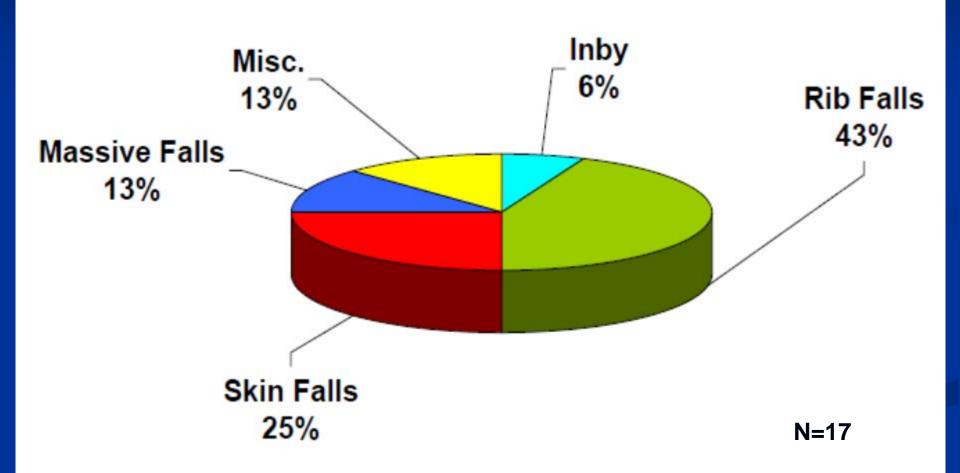
#### Roof/Rib Fatalities: Nationwide



#### Roof/Rib Fatalities, 1995-2012

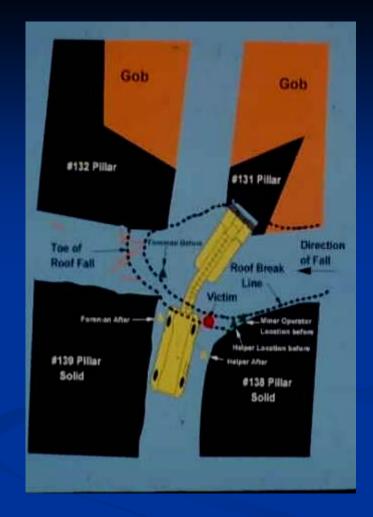


#### Roof/Rib Fatalities, 2008-2012



## Final Stump





Historically, about 1/3 of retreat mining fatals occurred while mining the final stump.

Currently, few mines extract the entire pushout.



# 10'

# Leave an Engineered Final Stump!

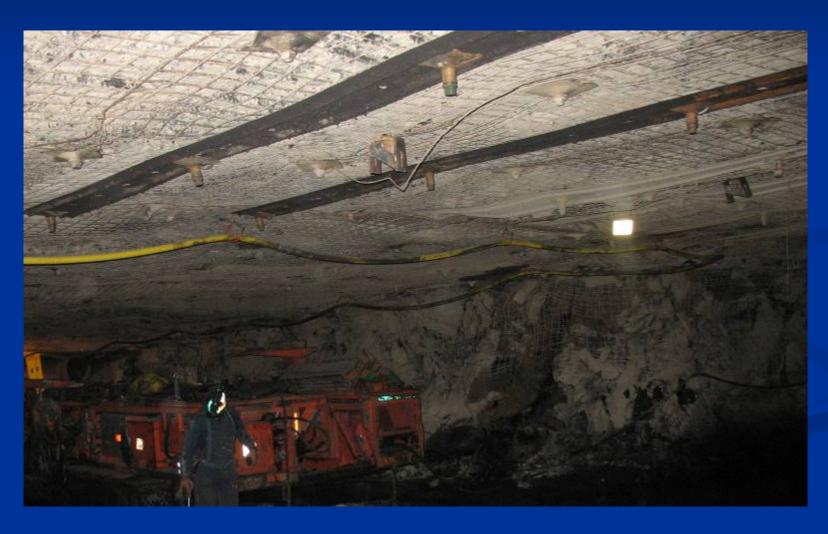
- Large enough to support intersection
- Small enough to allow caving
- RECOMMEND
   APPROXIMATELY
   10 ft BY 10 ft



# POSTS AND MOBILE ROOF SUPPORTS



# Cable Bolts or Superbolts in Intersections



## MANAGEMENT TO ENSURE PLAN IMPLEMENTATION



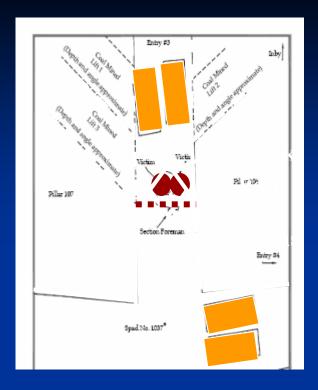


## CREW (RE)TRAINING PRIOR TO RETREAT MINING





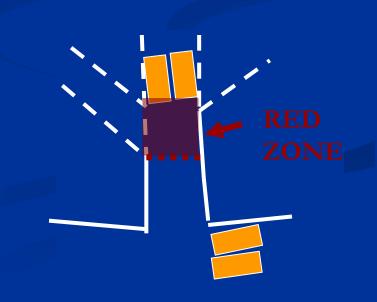
- Roof Control Plan
- Hazard Identification
- Cut Sequence
- Personnel location during mining (red zones)



## "RED ZONES" DURING RETREAT MINING

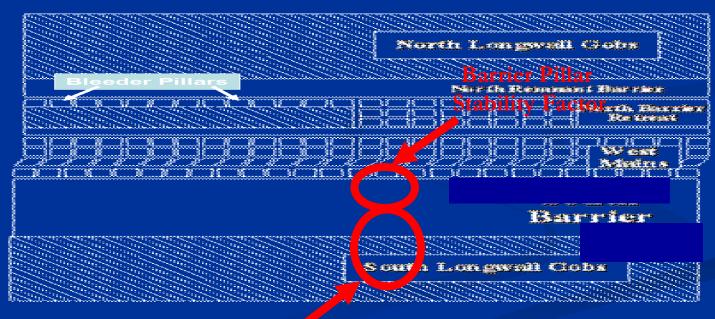
Four of the last six fatal incidents involved personnel that were in the wrong location.

- Outby start of lift
- 25 ft outby MRS when raising/lowering
- Outby CM operator
- Outby intersection (unless presence necessary)



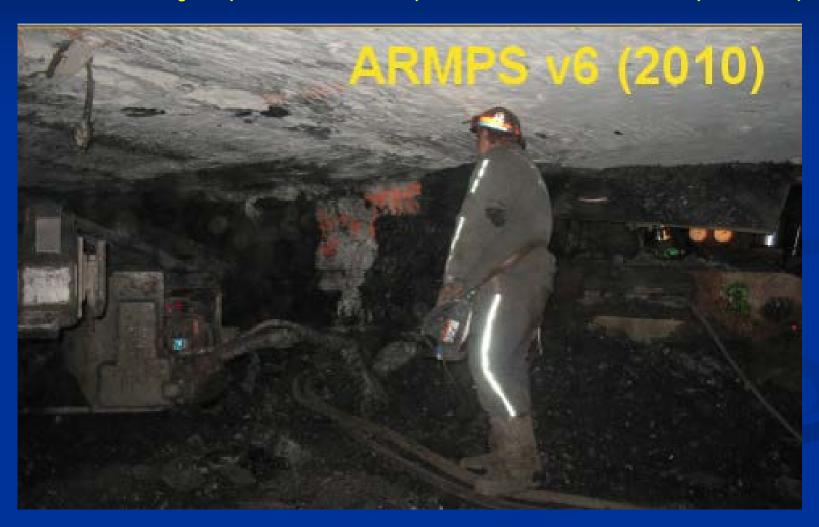


# PILLAR DESIGN

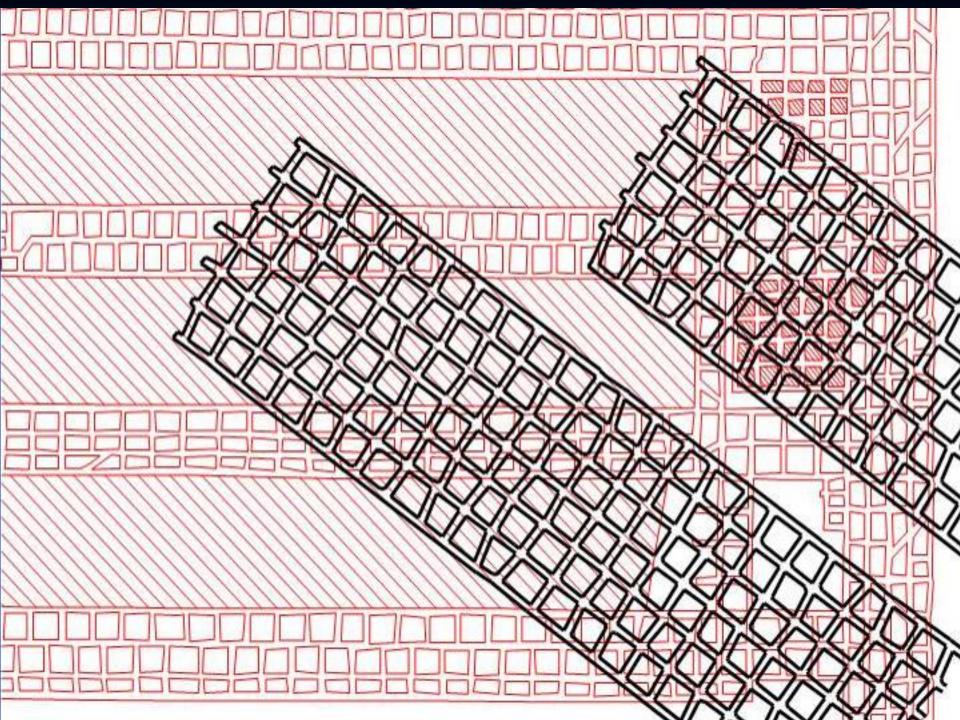


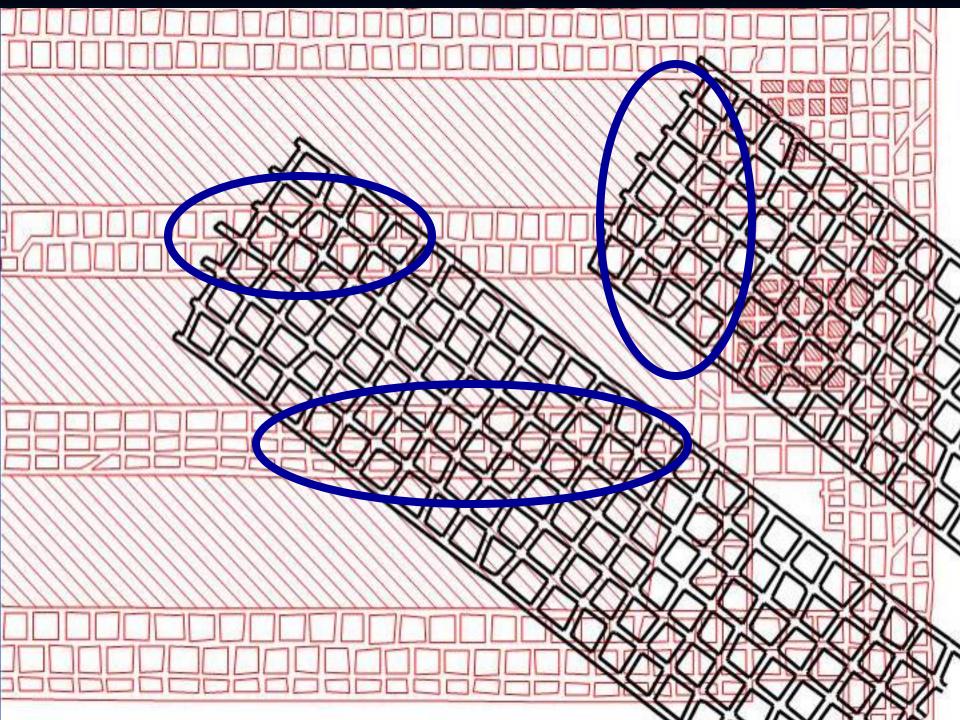
ARMPS SF
(Production Pillars)

## Analysis of Retreat Mining Pillar Stability (ARMPS): Version 6 (2010)

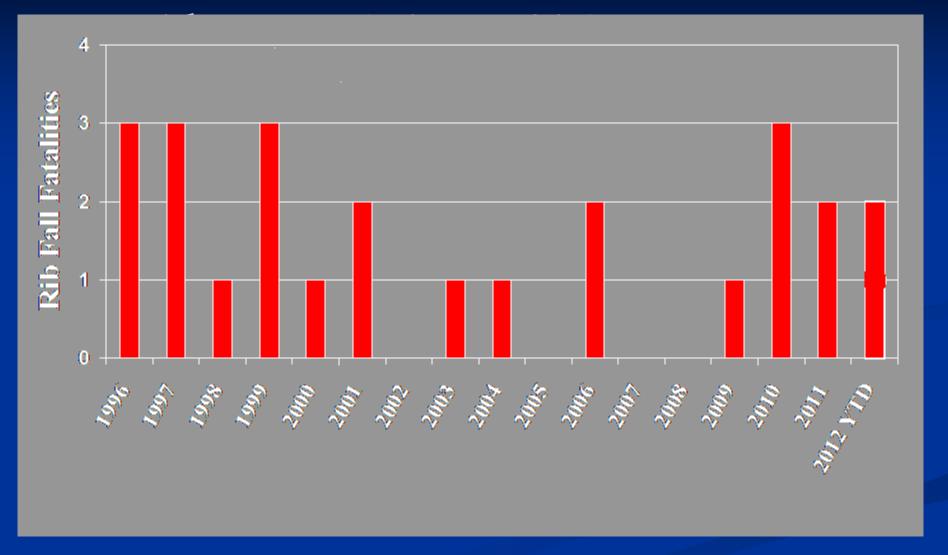




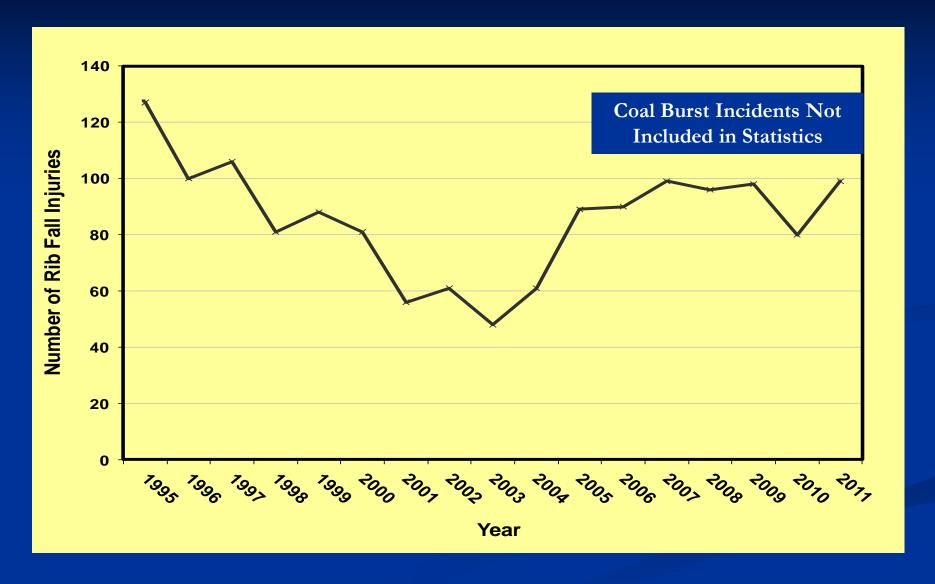




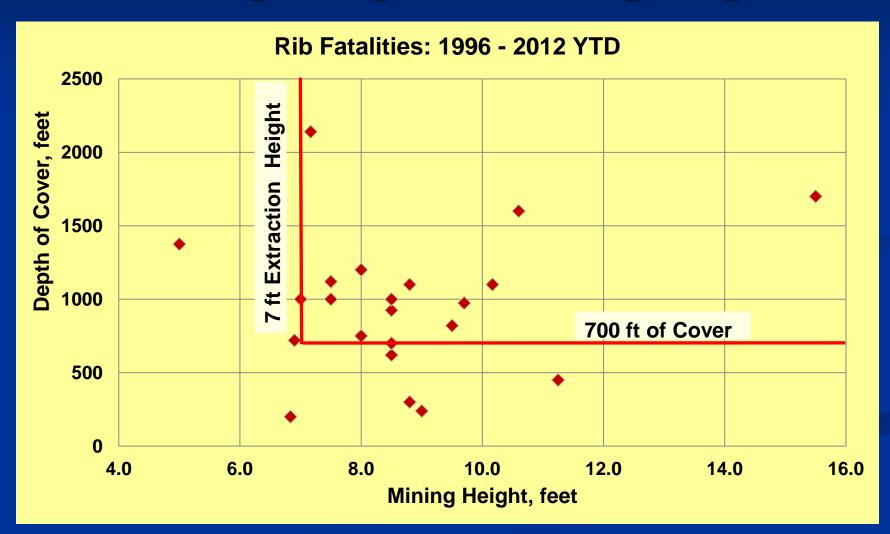
#### Underground Coal - Rib Fall Fatality



#### Underground Coal - Rib Fall Injuries

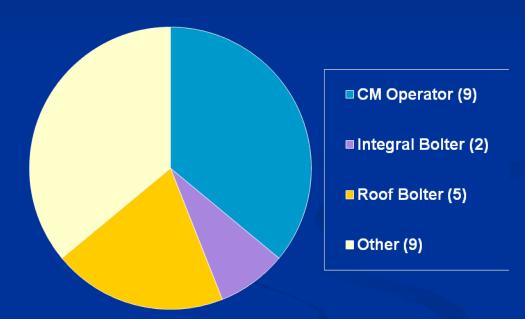


## Rib Fall Fatality Analysis Mining Height – Mining Depth



#### Rib Fall Fatality Analysis

- Most rib fatalities occurred during production operations
- Five fatalities occurred during construction activity
  - Belt drive, overcast, etc.
  - High excavation height
- Common factor in rib fatalities: typically no rib support installed



#### Rib Fall Injury Analysis

- U.S. deep mining research indicates
  - Nearly one-quarter of all the rib fall injuries in the U.S. underground coal industry occurred in small group of deep cover pillar recovery mines
  - These mines accounted for less than 10% of all hours worked
  - The rib fall rate was approximately three times greater than other room and pillar mines
- Coal seams with the highest rib fall rates (room and pillar mines) were primarily the thicker, deeper seams in the Central Appalachian coal fields
- The mine injury data also indicates that the highest rib fall injury rates are encountered in the Central Appalachian and Western coalfields of the US

#### U. S. Coal Mining Regulations Rib Control

- Ribs where persons work or travel shall be supported or controlled to protect persons from rib falls 30 CFR § 75.202 (a)
- Roof control plan, developed by the mine and approved by MSHA, must also be suitable to the prevailing geological conditions and the mining system at the mine 30 CFR. § 75.220 (a)(1)
- Operations required to revise the control plan when conditions indicate that the plan is not controlling the ribs 30 CFR § 75.223(a)(1)

## U. S. Coal Mining Regulations Rib Control in Roof Control Plans

- Rib control measures in approved roof control plans are specific to the mine site
  - Could be installed routinely and on cycle throughout the mine
  - Or, could be triggered by a condition such as
    - Recognizable rib hazard
    - High mining height
    - Depth of mining
    - Geologic conditions such as a thick rock parting
    - Presence of a multiple seam stress interaction, etc.

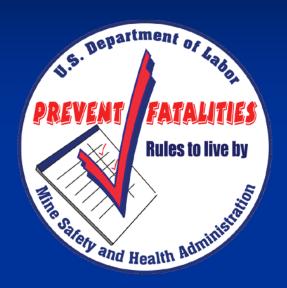
#### Rules to Live By Fatality Prevention



An initiative to improve the prevention of fatalities in mining.

"Rules to Live By III": Preventing Common Mining Deaths" - focuses on 8 safety standards in coal mining - cited as a result of at least five mining accidents and resulting in at least five deaths during the 10-year period from January 1, 2001, to December 31, 2010.

### Coal Priority Standards Conditions for Enhanced Enforcement



30 CFR § 75.362(a)(1) - On-shift examination

During the review period, violations of 30 CFR §75.362(a)(1) contributed to 9 fatalities in 9 fatal accident investigations.

30 CFR § 77.404(a) - Machinery and equipment; operation and maintenance

During the review period, violations of 30 CFR §77.404(a) contributed to 15 fatalities in 14 fatal accident investigations.

## Coal Priority Standards Conditions for Enhanced Enforcement



30 CFR § 77.405(b) - Performing work from a raised position; safeguards

During the review period, violations of 30 CFR §77.405(b) contributed to 7 fatalities in 7 fatal accident investigations.

30 CFR § 77.1000 - Highwalls, pits and spoil banks; plans

During the review period, violations of 30 CFR §77.1000 contributed to 6 fatalities in 5 fatal accident investigations.

### Coal Priority Standards Conditions for Enhanced Enforcement



30 CFR § 77.1605(b) - Loading and haulage equipment; installations

During the review period, violations of 30 CFR §77.1605(b) contributed to 10 fatalities in 10 fatal accident investigations.

30 CFR § 77.1606(a) - Loading and haulage equipment; inspection and maintenance

During the review period, violations of 30 CFR §77.1606(a) contributed to 9 fatalities in 9 fatal accident investigations.

### Coal Priority Standards Conditions for Enhanced Enforcement



30 CFR § 77.1607(b) - Loading and haulage equipment; operation

During the review period, violations of 30 CFR §77.1607(b) contributed to 11 fatalities in 11 fatal accident investigations.

30 CFR § 77.1713(a) - Daily inspection of surface coal mine; certified person; reports of inspection

During the review period, violations of 30 CFR §77.1713(a) contributed to 8 fatalities in 7 fatal accident investigations.

## FINAL RULE FOR MINE EXAMINATIONS

Effective August 6, 2012

#### Background

- MSHA determined that the same types of violations of health or safety standards are found by MSHA inspectors in underground coal mines every year and that these violations present some of the most unsafe conditions.
- These repeated violations expose miners to unnecessary safety and health risks that should be found and corrected by mine operators.
- The final rule will increase the identification and correction of unsafe conditions in mines earlier, removing many of the conditions that could lead to danger, and improving protection for miners.

#### Major Provisions of the Rule

- Examiners are required to look for violations of nine specific standards in addition to hazardous conditions during preshift, supplemental, on-shift and weekly examinations (effective August 6, 2012).
- On a quarterly basis, mine operators must review with examiners all citations and orders issued in areas where examinations under Subpart D are required.
- Mine operators must record and correct violations of the nine standards in a manner similar to hazardous conditions.

## Violations of Mine Roof Control and Ventilation Plans

"The mine operator is required by § 75.220(a)(1) to develop and follow a roof control plan and by § 75.370(a)(1) to develop and follow a mine ventilation plan approved by the District Manager. These plans are mine-specific and can sometimes be comprehensive and complex. MSHA expects that the operator will assure the examiner should have broad knowledge of these plans."

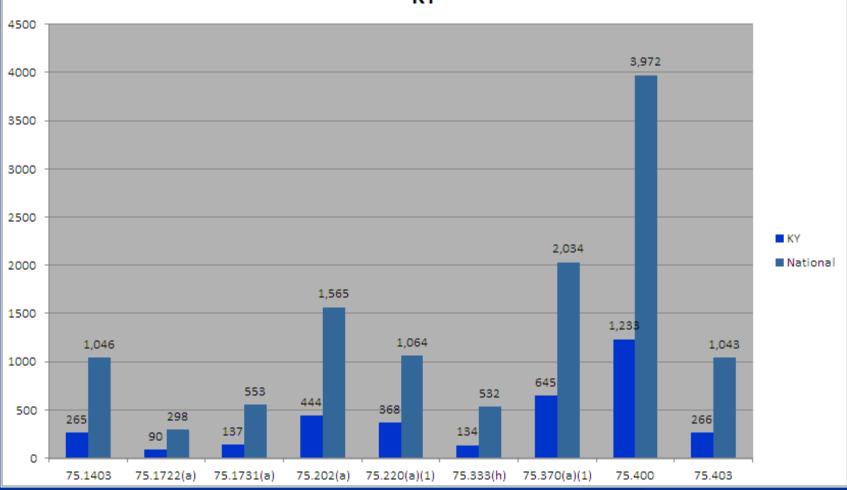
#### Summary

• The Mine Safety and Health Administration (MSHA) is revising its requirements for preshift, supplemental, onshift, and weekly examinations of underground coal mines to require operators to identify violations of health or safety standards related to ventilation, methane, roof control, combustible materials, rock dust, other safeguards, and guarding, as listed in the final rule. Violations of these standards create unsafe conditions for underground coal miners.

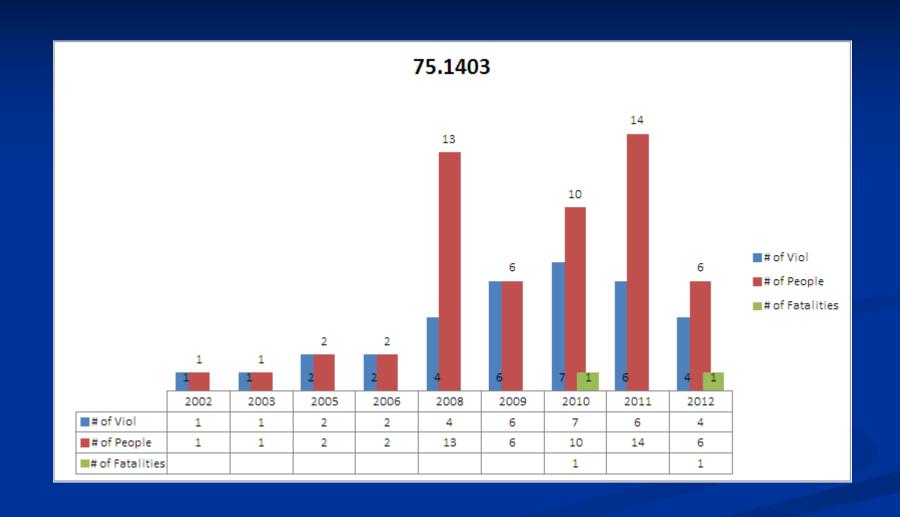
#### Under the final rule, examiners must examine for hazardous conditions and violations of the following nine standards:

- 75.202(a) and 75.220(a)(1) roof support and the mine roof control plan;
- 75.333(h) and 75.370(a)(1) maintenance of ventilation controls and the mine ventilation plan;
- 75.400 and 75.403 accumulations of combustible materials and application of rock dust;
- 75.1403 other safeguards, limited to maintenance of travelways along belt conveyors, off track haulage roadways, track haulage, track switches, and other components for haulage;
- 75.1722(a) guarding moving machine parts; and
- 75.1731(a) maintenance of belt conveyor components.

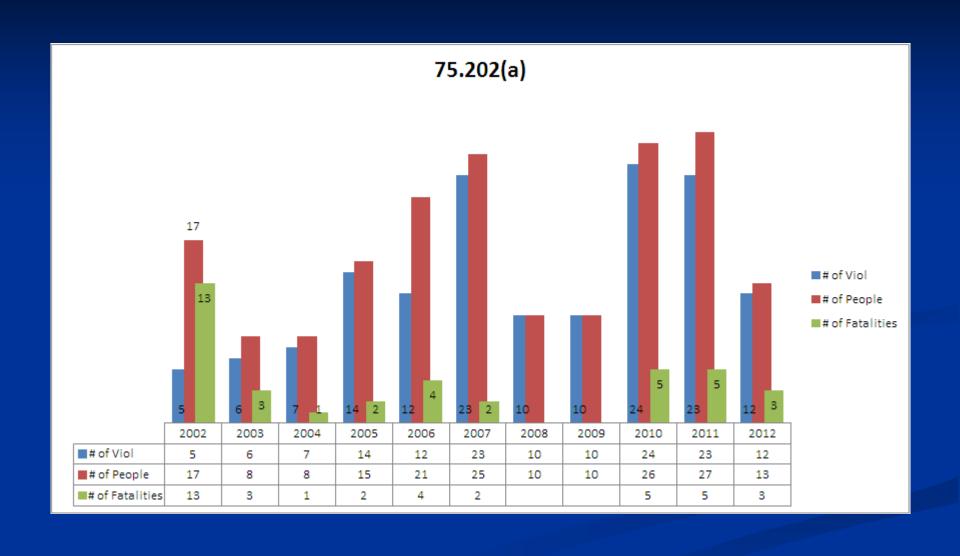
#### Summary of Violations Issued January 01, 2012 - Present KY

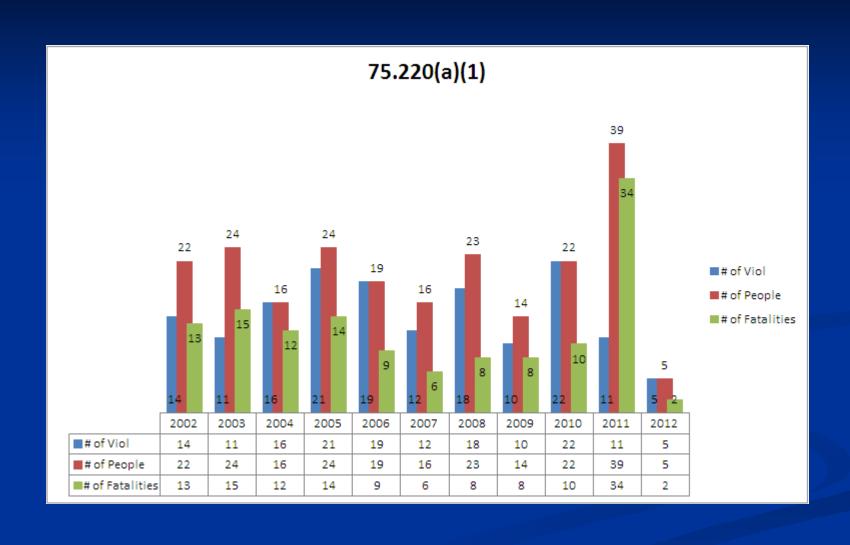


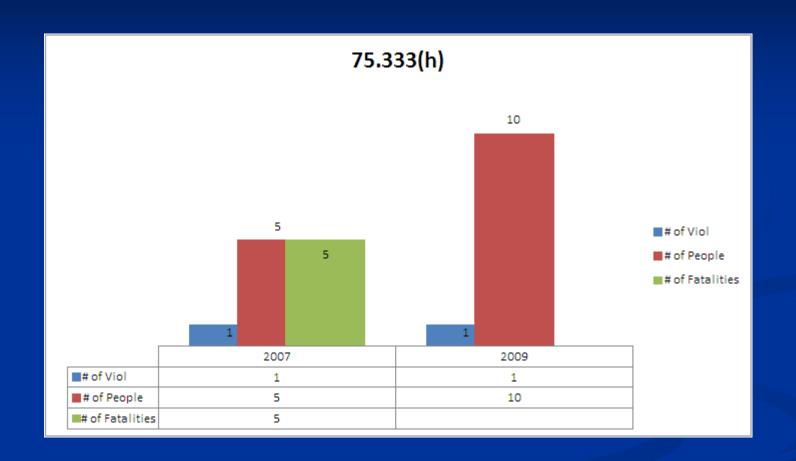
Summary of accidents for the Last 10 Years that have Occurred resulting in violations of the nine mandatory health and safety standards referenced in paragraph 75.360(b)(11)

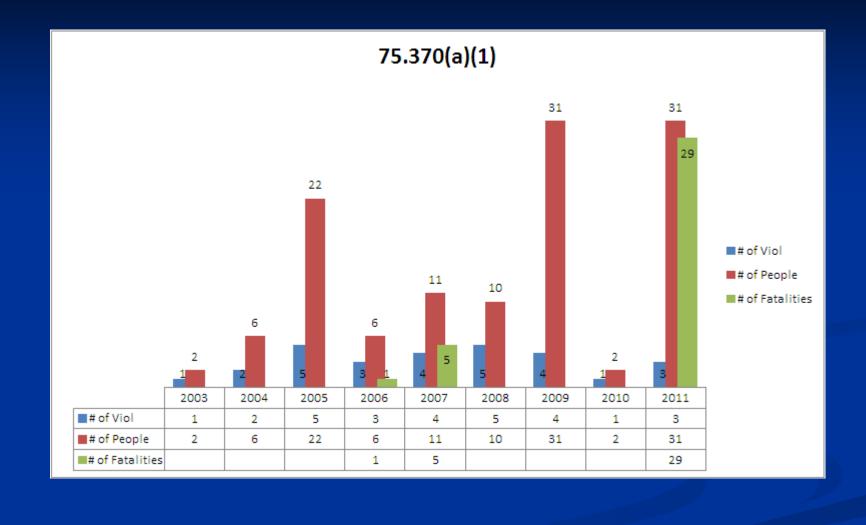


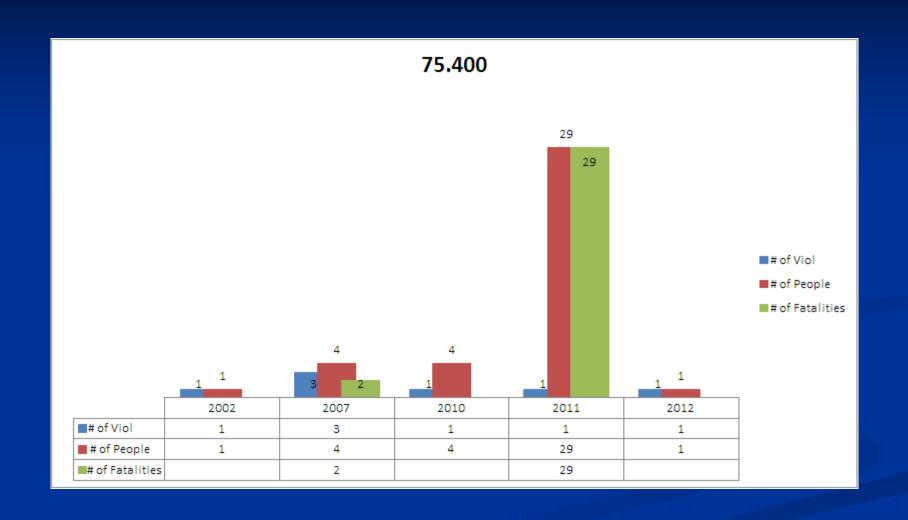


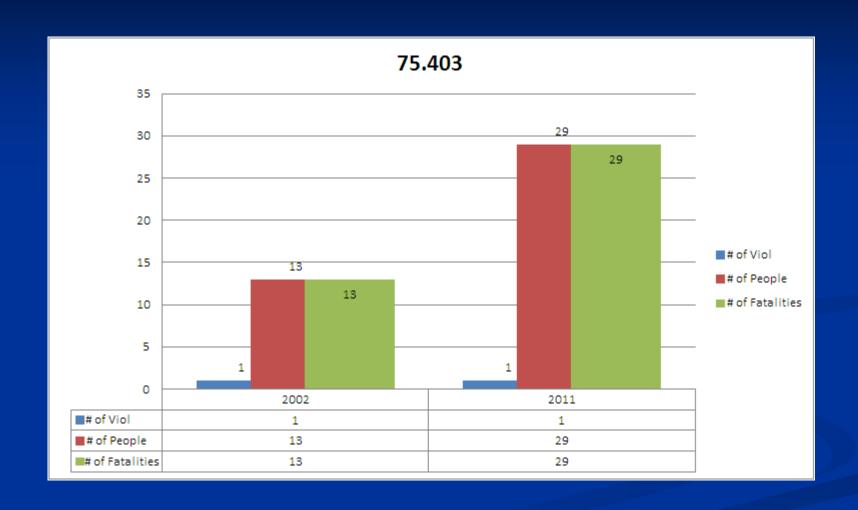












## TWO MINERS WERE KILLED



IN FIVE DAYS



On July 27, 2012, a midnight shift move crew member received crushing injuries when he was caught between the continuous mining machine (CM) conveyor boom and the right rib on the working section. The CM was being operated by the miner's supervisor and was being set up for production on the day shift when the accident occurred.

On July 31, 2012, a miner was crushed when he was struck by a battery-powered scoop. The miner was near a scoop at the battery charging station, when a second scoop struck the scoop beside the miner, causing it to slide into the miner.



#### **Best Practices**

- Install Proximity Detection Systems on CMs and other face equipment. Find approved systems at <a href="www.msha.gov">www.msha.gov</a>.
- Avoid Red Zone areas. See diagram at msha.gov.
- Use remote control units that have safeguards against accidental tram.
- Before tramming, ensure emergency stop and operational controls are functional.
- Ensure equipment is properly maintained and being operated safely, especially in low mining heights, and slippery and uneven floor conditions.
- See other MSHA Best Practices at:

http://www.msha.gov/focuson/watchout/Hitby%20SHUTTLECARS.pdf

#### Pattern of Violations Single Source Page



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Mine Safety and Health Administration

MSHA - Protecting Miners' Safety and Health Since 1978

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#### Pattern of Violations Single Source Page

A mine operator that has a potential pattern of recurrent S&S violations at a mine will receive written notification from MSHA. An S&S violation is one that could reasonably be expected to lead to a serious injury or illness. The operator will have an opportunity to review and comment on the documents upon which the potential pattern of violations is based, and develop a corrective action program to reduce S&S violations. MSHA will closely monitor the affected mine's compliance. If the operator significantly reduces its S&S violation rate, it can avoid being issued a Notice of a Pattern of Violations pursuant to Section 104(e) of the Federal Mine Safety and Health Act of 1977. If the improvement falls short of prescribed goals, MSHA will issue the notice. For each S&S violation subsequently found, MSHA will issue an order withdrawing miners from the affected area until the cited condition has been corrected. An operator can be removed from a pattern of violations when 1) an inspection of the entire mine is completed and no S&S violations are found or 2) no withdrawal order is issued by MSHA in accordance with Section 104(e)(1) of the Mine Act within 90 days of the issuance of the pattern notice.

#### Resources

Monthly Monitoring Tool for Pattern of Violations

Enter an MSHA Mine ID:

(7 Digits - No Dash)



If you do not know the Mine ID, please use the Data Retrieval System.

- Pattern of Violations Screening Criteria 2010
   (Revised 11/5/2010 to Include National Mine Type Severity Measures)
- Pattern of Violations (POV) Procedures Summary 2010
- 30 CFR Part 104 Pattern Of Violations
- FedReg 2011-2255 Pattern of Violations; Proposed rule; notice of close of comment period.
- FedReg 2011-7975 Pattern of Violations; Proposed rule; extension of comment period.
- FedReg 2011-10788 Pattern of Violations; Proposed rule; notice of public hearings; notice of re-opening and close of comment period.
- <u>FedReg 2011-15250</u> Pattern of Violations; Proposed rule; notice of public hearing; notice of extension of comment period.

# Our Ultimate Measure of Success



Every Day ..... Every Shift